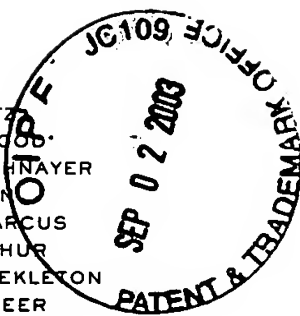


71

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Re: Patent Application of Parsons et al  
Serial No. 10/045,231  
Filed: November 9, 2001  
MINIATURE LED FLASHLIGHT  
Our File No. 8342-86381

Dear Sir:

Enclosed herewith are the following:

1. Transmittal Letter (in duplicate);
2. Information Disclosure Statement (in duplicate);
3. Form PTO-1449 (in duplicate);
4. Ninety (90) U.S. references;
5. Four (4) foreign references; and
6. Nineteen (19) other documents;
7. Postcard.

The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 23-0920.

Very truly yours,

WELSH & KATZ, LTD.

By

  
Eric D. Cohen

### CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First-Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, ~~Arlington~~, Virginia 22313-1450, on this date. *Alexander*

August 28, 2003

Date Eric D. Cohen, Reg. No. 38,110



Docket: 86381

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin L. Parsons                      Group Art Unit:  
Serial No.: 10/045,231                      Examiner: Not Yet Assigned  
For: MINIATURE LED FLASHLIGHT                      Filed: November 9, 2001  
Confirmation No. \_\_\_\_\_

INFORMATION DISCLOSURE STATEMENT

- ☒ 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1<sup>st</sup> Office Action]  
☐ 2 Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]  
☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]  
☐ 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

SIR:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 5 A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☐ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1<sup>st</sup> Office Action]  
☒ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and  
☐ 8 The required certification made in item 11 below; or  
☒ 9 The \$180.00 fee specified in 37 CFR § 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.  
☐ 10 37 CFR 1.97(d): [before issue fee payment]; and  
a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$180.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g. "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_"), and

b) The required Certification is stated in item 11 below.

[ ] 11 Certification

[ ] 12 Each item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

[ ] 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

[X] 14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 23-0920. An original and one (1) copy of this document are enclosed.

In the judgment of the undersigned, portions of the references may be material to the examination of the pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references or to whether the references are considered "analogous art." This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or 103. This Information Disclosure Statement shall not be construed as an admission that the statement or cited references are, or are considered to be, material to the patentability under 37 C.F.R. Section 1.97(h).

Respectfully submitted,

WELSH & KATZ, LTD.



Eric D. Cohen  
Reg. No. 38,110

WELSH & KATZ, LTD.  
120 South Riverside Plaza  
22<sup>nd</sup> Floor  
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August 28, 2003

**CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on this date:

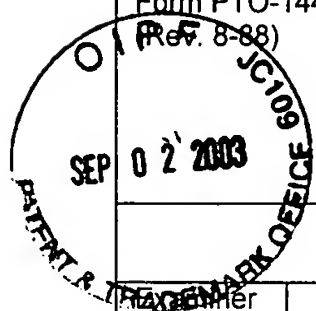
August 28, 2003  
Date



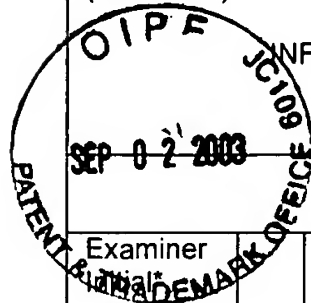
Eric D. Cohen, Esq.  
Registration No. 38,110  
Attorney for Applicant

Form PTO-1449 (Rev. 8-88)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No. 8342-86381	Serial No. 10/045,231	
<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				Applicant Kevin L. Parsons		
				Filing Date November 9, 2001	Group No.	
<b>U.S. PATENT DOCUMENTS</b>						
Examiner Initials	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	762,720	6/14/04	Hubert			
	1,047,525	12/17/12	Hubert			
	1,315,457	9/9/19	Benedict			
	1,436,340	11/21/22	Gallagher			
	1,866,600	7/12/32	Rauch			
	2,249,692	7/15/41	Gelardin			
	2,412,056	12/3/46	Mosch			
	2,465,114	3/22/49	Oury			
<b>FOREIGN PATENT DOCUMENTS</b>						
	Document Number	Date	Country	Class	Subclass	Translation Yes      No
	GB 1,058,466	2/8/67	United Kingdom (Schwartz)			
	GB 2 220 735 A	1/17/90	United Kingdom (Beastall)			
	H07-029701	6/2/95	Japan (Yoshii Moripura, Inc.)			
	GB 2,314,150 A	12/17/97	United Kingdom (Sinclair)			
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>						
	Lumatec 1997 Holiday Collection Catalog					
	Lumatec Wholesale Price List (9/1/97)					
	Lumatec Fall 1997 Newsletter					
Examiner			Date Considered			
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

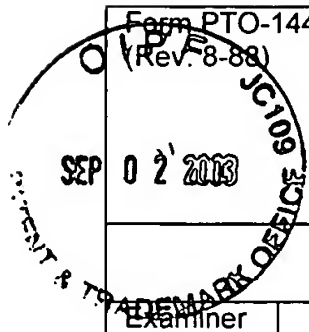
Form PTO-1449 (Rev. 8-88)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No. 8342-86381		Serial No. 10/045,231	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				Applicant Kevin L. Parsons			
				Filing Date November 9, 2001		Group No.	
U.S. PATENT DOCUMENTS							
Examiner Initial*		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
		2,714,152	7/26/55	Ackerman			
		2,762,907	9/11/56	Schwartz			
		2,889,450	6/2/59	Nordquist			
		3,057,992	10/9/62	Baker			
		3,085,149	4/9/63	Giwosky			
		3,085,150	4/9/63	Bautsch			
		3,119,564	1/28/64	Zalman			
		3,256,428	6/14/66	Schwartz			
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation Yes No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
		Alleged Photograph of Lumatec TinyMite Flashlight					
		Photograph of Master Lock Backpack/Luggage Lock (prior to August 26, 1998)					
		Chicago Miniature Lamp, Inc., catalog pages, 1998					
Examiner				Date Considered			
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



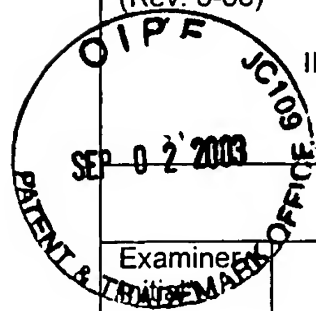
Form PTO-1449 (Rev. 8-88)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No. 8342-86381		Serial No. 10/045,231	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				Applicant Kevin L. Parsons			
				Filing Date November 9, 2001		Group No.	
U.S. PATENT DOCUMENTS							
Examiner		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
		3,310,668	3/21/67	Schwartz			
		3,330,949	7/11/67	Bush			
		3,345,508	10/3/67	Sze-Yuen Chung			
		3,359,411	12/19/67	Schwartz			
		3,589,155	6/29/71	Kamp			
		3,613,414	10/19/71	Ostrager			
		3,732,414	5/8/73	Franc			
		3,804,307	4/16/74	Johnston			
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation Yes      No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
		Snaptron, Inc., catalog pages, 2000					
		Photon Micro-Light and LRI Company Profile, March 21, 2001					
		Lifestyle Fascinations Catalog (Late Summer 2001), two pages bearing Bates Nos. LL 001792 - LL 001793					
Examiner				Date Considered			
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



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				Filing Date November 9, 2001		Group No.	
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial*		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
		3,860,847	1/14/75	Carley			
		3,866,035	2/11/75	Richey			
		3,870,843	3/11/75	Witte			
		3,913,362	10/21/75	Goessling			
		4,076,976	2/28/78	Fenton			
		4,085,315	4/18/78	Wolter et al.			
		4,101,955	7/18/78	DuNah			
		4,122,510	10/24/78	Halliday			
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Date	Country	Class	Subclass	Translation Yes      No
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
		International AutoSport Catalog ("Holidays 2001"), cover page and Page 27 bearing Bates Nos. LL 001798 - LL 001799					
		Lizell Catalog (Christmas 2001), two pages bearing Bates Nos. LL 001800 - LL 001801					
		"Hiyoshi 'Frosted' LED Globes, Decorative Lamp with Five Built-In LEDs," two pages bearing Bates Nos. LL 001770 - LL 001771					
Examiner				Date Considered			
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

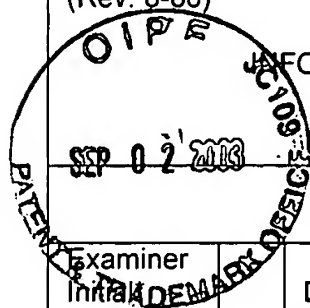


Form PTO-1449 (Rev. 8-88)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No. 8342-86381		Serial No. 10/045,231	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				Applicant Kevin L. Parsons			
				Filing Date November 9, 2001		Group No.	
U.S. PATENT DOCUMENTS							
Examiner		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
		4,129,899	12/12/78	Dunbar			
		4,164,132	8/14/79	Loman			
		4,210,953	7/1/80	Stone			
		4,228,484	10/14/80	Johnstone			
		4,242,724	12/30/80	Stone			
		4,261,026	4/7/81	Bolha			
		4,276,582	6/30/81	Burnett			
		4,303,966	12/1/81	Wolter			
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation Yes      No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
		"Hiyoshi Frosted-LED Lamp" Brochure bearing Bates Nos. LL 001609 – LL 001624					
		International Standard: Miniature Lamps					
		Nomenclature for Glass Bulbs Intended for Use with Electric Lamps					
Examiner				Date Considered			
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

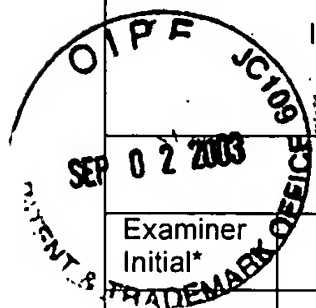




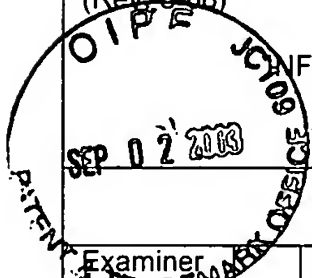
Form PTO-1449 (Rev. 8-88)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No. 8342-86381	Serial No. 10/045,231	
<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				Applicant Kevin L. Parsons		
				Filing Date November 9, 2001	Group No.	
<b>U.S. PATENT DOCUMENTS</b>						
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	4,336,574	6/22/82	Goodman			
	4,346,329	8/24/82	Schmidt			
	4,392,186	7/5/83	Cziment			
	4,398,237	8/9/83	Doyel			
	4,399,495	8/16/83	Leopoldi et al.			
	4,408,261	10/4/83	Polakoff			
	4,409,645	10/11/83	Sloan			
	4,422,131	12/20/83	Clanton et al.			
<b>FOREIGN PATENT DOCUMENTS</b>						
	Document Number	Date	Country	Class	Subclass	Translation Yes      No
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>						
	Photocopies of Miniature Flashlight (source unknown) bearing Bates Nos. LL 001646 - LL 001648					
	REI Catalog "Get Outside Yourself," cover page and Pages 6-8 (date unknown) bearing Bates Nos. LL 001788 - LL 001791					
	Seventh Avenue Catalog (date unknown), two pages bearing Bates Nos. LL 001794 - LL 001795					
Examiner			Date Considered			
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				Filing Date November 9, 2001		Group No.	
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial*	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	4,433,365	2/21/84	Rousseau				
	4,517,627	5/14/85	Bradford				
	4,521,833	6/4/85	Wolter				
	D285,989	10/7/86	MacDonald				
	D290,518	6/23/87	Rakocy et al.				
	4,731,712	3/15/88	Amthor				
	4,768,138	8/30/88	Leopoldi et al.				
	4,893,222	1/9/90	Mintzer				
<b>FOREIGN PATENT DOCUMENTS</b>							
	Document Number	Date	Country	Class	Subclass	Translation Yes    No	
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	Taylor Gifts Catalog (date unknown), cover page and Page 14 bearing Bates Nos. LL 001796 - LL 001797						
Examiner			Date Considered				
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<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				Applicant Kevin L. Parsons			
				Filing Date November 9, 2001		Group No.	
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
		D310,306	9/4/90	MacDonald			
		D311,067	10/2/90	Chabria			
		5,008,784	4/16/91	Wang			
		5,029,055	7/2/91	Lindh			
		5,043,854	8/27/91	Gammache			
		5,122,943	6/16/92	Pugh			
		5,143,442	9/1/92	Ishikawa et al.			
		5,158,356	10/27/92	Guthrie			
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Date	Country	Class	Subclass	Translation Yes      No
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
Examiner			Date Considered				
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Form PTO-1449  
(Rev. 8-88)U.S. Department of Commerce  
Patent and Trademark OfficeAttorney Docket No.  
8342-86381Serial No.  
10/045,231INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)

Applicant

Kevin L. Parsons

Filing Date  
November 9, 2001

Group No.

## U.S. PATENT DOCUMENTS

Examiner	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	5,181,927	1/26/93	Song			
	D337,200	7/13/93	Keller			
	5,285,586	2/15/94	Goldston et al.			
	5,386,351	1/31/95	Tabor			
	5,457,613	10/10/95	Vandenbelt et al.			
	5,463,539	10/31/95	Vandenbelt et al.			
	5,465,197	11/7/95	Chien			
	5,475,368	12/12/95	Collins			

## FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No

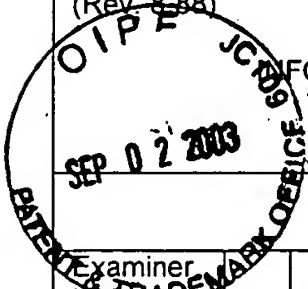
## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


Examiner

Date Considered

\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 (Rev. 8-88)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No. 8342-86381		Serial No. 10/045,231	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				Applicant Kevin L. Parsons			
				Filing Date November 9, 2001		Group No.	
U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
		5,541,817	7/30/96	Hung			
		D372,356	8/6/96	Marguerite			
		5,617,751	4/8/97	Song			
		D381,803	8/5/97	Crego			
		5,730,013	3/24/98	Huang			
		D394,345	5/19/98	Marguerie			
		D400,326	10/27/98	Fisher			
		D401,371	11/17/98	Chen			
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation Yes      No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
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Form PTO-1449 (Rev. 8-88)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No. 8342-86381	Serial No. 10/045,231
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				Filing Date November 9, 2001	Group No.

U.S. PATENT DOCUMENTS							
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	D402,069	12/1/98	Chan				
	5,893,631	4/13/99	Padden				
	5,894,196	4/13/99	McDermott				
	5,934,789	8/10/99	Sinclair et al.				
	5,956,985	9/28/99	Chang				
	6,006,562	12/28/99	Wolter				
	6,039,454	3/21/00	Hallgrimsson				
	6,109,762	8/29/00	Hallgrimsson et al.				

FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

Examiner	Date Considered
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Form PTO-1449 (Rev. 2-88)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No. 8342-86381	Serial No. 10/045,231		
<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				Applicant Kevin L. Parsons			
				Filing Date November 9, 2001		Group No.	
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
		6,164,795	12/26/00	Lopez			
		6,270,234 B1	8/7/01	Au-Yeung			
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Date	Country	Class	Subclass	Translation Yes      No
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
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